#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/680,076 Confirmation No.: 9942

Applicant: : Bell et al.

Filed: : October 6, 2003

Group Art Unit : 1624

Examiner: : COLEMAN, Brenda Libby

For : TRIAZA COMPOUND REGULATORY AGENTS

Docket No. : 36-02 Customer No. : 23713

#### **CERTIFICATE OF EFS-WEB FILING**

I hereby certify that this correspondence is being submitted via the USPTO EFS-WEB system.

March 30, 2007 Date /<u>bobbyesimon/</u> Bobbye Simon

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

### MAIL STOP AMENDMENT

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Further to the Information Disclosure Statement filed March 25, 2005 and the Supplemental Information Disclosure Statement filed July 27, 2006, the Examiner is respectfully requested to consider the additional references, (copy of non-US patent documents enclosed), which may qualify as prior art. For the Examiner's convenience, the references are listed on the attached Patent and Trademark Office form PTO/SB/08a (substitute for PTO-1449).

To the knowledge of the person signing the certification after making reasonable inquiry, no item of information listed in this Supplemental Information Disclosure Statement was known to have relevance to patentability hereof more than three months prior to the filing of this Supplemental Information Disclosure Statement. See 37 CFR 1.97(e)(2).

The cited additional references known to the Applicants are listed on PTO/SB/08a. That information is cited in a spirit of forthrightness and cooperation to enable the applicants to obtain that measure of protection for the invention to which there is entitlement. However, no representation is made that the listed art actually qualifies as prior art under the patent statute and the mere use of PTO/SB/08a is not an admission that all listed references are prior art. No representation is made that applicants know of the best art.

Respectfully submitted,

Sally A. Sullivan

GREENLEE, WINNER AND SULLIVAN, P.C.

4875 Pearl East Circle, Suite 200, Boulder, CO 80303 Telephone: (303) 499-8080 - Facsimile: (303) 499-8089

Attorney Docket No. 36-02

bds:March 30, 2007

10680076

2003-10-06

PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it contains a valid OMB control number.

**Application Number** 

Filing Date

111505		TION BIOOLOGI		9					10 00				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)					First Named Inventor Thom			nas Be	nas Bell				
					Art Unit			1624	1624				
					Examiner Name C			EMAN, Brenda Libby					
						ket Numb	ег	36-02	36-02				
<u></u>			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,										
					U.S.I	PATENTS							
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue [	Same Date  Name of Patentee or Applicant of cited Document			Pages,Columns,Lines where Relevant Passages or Relev Figures Appear					
	1												
If you wis	h to a	dd additional U.S. Pate	nt citatio	n inform	nation pl	lease click	the A	\dd bu	tton.	<u> </u>			
-			U.S.P	ATENT	APPLI	CATION P	UBL	ICATIO	ONS				
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publica Date	ation	Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear					
	1												
If you wis	h to a	dd additional U.S. Publ	ished Ap	plication	n citatio	n informati	on pl	ease c	lick the Ad	d butto	on.		
		^~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~		FOREI	GN PAT	TENT DOC	UME	NTS					
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Oocument Country Code <sup>2</sup> i		Kind Code4	1	Name of Patentee Applicant of cited Document			Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5		
	1												
If you wis	h to a	dd additional Foreign P	atent Do	cument	citation	informatio	n ple	ase cli	ck the Add	butto	n	1	
			NON	I-PATE	NT LITE	RATURE	DOC	UMEN	ITS			***************************************	
Examiner Initials*	Cite No	Include name of the a (book, magazine, jour publisher, city and/or	mal, seria	al, symp	osium,	catalog, et						Ţ5	

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10680076				
Filing Date		2003-10-06				
First Named Inventor	Thom	as Bell				
Art Unit		1624				
Examiner Name	COLE	MAN, Brenda Libby				
Attorney Docket Number		36-02				

	1	ATKINS, T.J. et al., MACROCYCLIC OLYAMINES: 1,4,7,10,13,16- HEXAÄZACYCLOÖCTADECANE, Org. Synthesis, Coll. Vol. 6, p.652 (1988); Vol. 58, p.86 (1978).								
	2	CHAVEZ, F. et al., A SIMPLIFIED SYNTHETIC ROUTE TO POLYAZA MACROCYCLES, J. Org. Chem. 1989, 54, 2990-2992.								
If you wis	If you wish to add additional non-patent literature document citation information please click the Add button									
EXAMINER SIGNATURE										
Examiner	Signa	ture	Date Considered							
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.										
<sup>1</sup> See Kind Codes of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.										

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10680076
Filing Date		2003-10-06
First Named Inventor	Thom	as Bell
Art Unit	•	1624
Examiner Name	COLE	MAN, Brenda Libby
Attorney Docket Number		36-02

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):									
That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).									
OR									
That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).									
See attached certification statement.									
Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.									
None									
SIGNATURE									
A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.									
Signature Date (YYYY-MM-DD) 2007-03-30									
Name/Print SALLY A. SULLIVAN Registration Number 32064									

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**